

# PROGRAM ASSISTANCE LETTER

**DOCUMENT NUMBER:** PAL 2016-01

**DATE:** February 1, 2016

**DOCUMENT TITLE:** Proposed Uniform Data System Changes for Calendar Year 2016

**TO:** Health Centers  
Primary Care Associations  
Primary Care Offices  
National Cooperative Agreements

## **I. BACKGROUND**

This Program Assistance Letter (PAL) provides an overview of proposed changes to the Health Resources and Services Administration's (HRSA) calendar year (CY) 2016 Uniform Data System (UDS) to be reported by Health Center Program grantees and look-alikes in February 2017. Additional details regarding these changes will be provided in the forthcoming 2016 UDS Manual.

## **II. PROPOSED CHANGES FOR CY 2016 UDS REPORTING**

### **A. SEXUAL ORIENTATION AND GENDER IDENTITY (SO/GI) – TABLES 3A, 3B**

Sexual orientation and gender identity are reported on Table 3A, 3B.

Rationale: Improving the health of the Nation's underserved communities and vulnerable populations by assuring access to comprehensive, culturally competent, quality primary health care services is a priority of the Health Center Program. Sexual orientation and gender identity can play a significant role in determining health outcomes. Gaining a better understanding of populations served by health centers, including sexual orientation and gender identity, promotes culturally competent care delivery and contributes to reducing health disparities overall. In addition, adopting sexual orientation and gender identity (SO/GI) data collection in the UDS aligns with the 2015 Edition Health Information Technology (Health IT) Certification Criteria, 2015 Edition Base Electronic Health Record (EHR) Definition, and the Office of the National Coordinator for Health Information Technology (ONC) Health IT Certification Program. Alignment of UDS SOGI data elements with ONC certification criteria also reduces overall health center reporting burden.

## **B. STAFFING AND UTILIZATION – TABLES 5, 8A**

The following staff is reported on Tables 5, 8A: Quality Improvement (QI) staff (Table 5, line 29b; Table 8A, line 12a), Community Health Workers (CHW) (Table 5, line 27c; Table 8A, line 11h), Dental Therapists (Table 5, line 17a).

Rationale: Health center staffing patterns are evolving to better meet the needs of patients and communities. The UDS currently includes information about different types of staff but does not specifically include information about new types of staff that health centers routinely employ, including QI staff, CHWs, and dental therapists. The addition of these specific staff will more accurately describe the Health Center Program and better measure ongoing investments that support expansion of services, continuous quality improvement efforts by health centers and team-based care and the use of CHWs, and dental therapists in health centers.

## **C. SELECTED DIAGNOSES AND SERVICES RENDERED – TABLE 6A**

All Table 6A diagnosis codes for selected diagnoses and services rendered are revised from ICD-9 to ICD-10 codes.

Rationale: The U.S. Department of Health and Human Services (HHS) released a final rule on July 31, 2014 (<http://cms.hhs.gov/Newsroom/MediaReleaseDatabase/Press-releases/2014-Press-releases-items/2014-07-31.html>) requiring Health Insurance Portability and Accountability Act (HIPAA)-covered entities to use ICD-10 beginning October 2015.

## **D. QUALITY OF CARE MEASURES – TABLES 6B, 7**

To support Department-wide standardization of data collection and reduce health center reporting burden, the specifications for the clinical measures in Tables 6B and 7 listed below have been revised to align with the Centers for Medicare & Medicaid Services' electronic-specified Clinical Quality Measures (e-CQMs).

Rationale: Data-driven quality improvement and full optimization of EHR systems are strategic priorities for the Health Center Program. The current lack of measure alignment across national programs causes significant increases in reporting burden and leads to inconsistent data. Revision of these measures to align with other national programs such as the National Quality Forum (NQF) (<http://www.qualityforum.org/QPS/QPSTool.aspx>) and the Medicare and Medicaid EHR incentive program (<http://www.cms.gov/Regulations-and-Guidance/Legislation/EHRIncentivePrograms/index.html?redirect=/ehrincentiveprograms>) (i.e., Meaningful Use) improves measure alignment and data standardization, and reduces grantee reporting burden.

1. Childhood Immunizations has been revised to align with [CMS117](#).

2. Cervical Cancer Screening has been revised to align with [CMS124](#).
3. Tobacco Use Screening and Cessation Intervention has been revised to align with [CMS138](#).
4. Asthma Pharmacologic Therapy has been revised to align with [CMS126](#).
5. Depression Screening and Follow-up has been revised to align with [CMS2](#).
6. Hypertension has been revised to align with [CMS165](#).
7. Diabetes has been revised to align with [CMS122](#).
8. Weight assessment and counseling for children and adolescents has been revised to align with [CMS155](#).
9. Adult weight screening and follow-up has been revised to align with [CMS69](#).
10. Ischemic vascular disease: Use of Aspirin or Another Antithrombotic has been revised to align with [CMS164](#).
11. Colorectal Cancer Screening has been revised to align with [CMS130](#).
12. Dental Sealants for children has been revised to align with [CMS277](#).

#### **E. TELEHEALTH – APPENDIX D**

Questions in Appendix D have been revised to include health center telehealth capacity and use.

Rationale: Telehealth is increasingly used as a method of health care delivery for the health center patient population, especially those hard-to-reach patients living in geographically isolated communities. Collecting information on telehealth capacity and use of telehealth is essential for 1) the delivery of technical assistance for health centers and 2) positioning health centers to better meet their mission of improving the health of the Nation’s underserved communities and vulnerable populations by assuring access to comprehensive, culturally competent, quality primary health care services.

#### **F. MEDICATION-ASSISTED TREATMENT (MAT) – APPENDIX D**

Questions in Appendix D have been revised to capture Medication-Assisted Treatment (MAT).

Rationale: Addressing America’s opioid misuse crisis is a national priority. Medication-Assisted Treatment (MAT) is a comprehensive method of helping patients overcome addiction through the use of medication, counseling, and other behavioral health services. Increasing the use of MAT in primary care, including at HRSA-funded health centers, is a federal priority. Greater understanding of the use of MAT in health centers is necessary both to understand existing services and identify remaining healthcare gaps.

### **III. CONTACTS**

For questions or comments regarding the proposed changes to the CY 2016 UDS contact the Office of Quality Improvement at [OQIComments@hrsa.gov](mailto:OQIComments@hrsa.gov) or 301-594-0818.

Sincerely,

/S/

Tonya Bowers  
Acting Associate Administrator for Primary Care

#### Attachments:

1. Proposed Changes to UDS Tables 3B, 5, 6A, 6B, 7, 8A, Appendix D

Attachment 1: **Table 3B: Demographic Characteristics**

Reporting Period: January 1, 2016 through December 31, 2016

**Patients by Hispanic or Latino Ethnicity**

Line	Patients By Race	Hispanic/ Latino (a)	Non- Hispanic/ Latino (b)	Unreported/ Refused to Report Ethnicity (c)	Total (d) (Sum Columns a+b+c)
1.	Asian				
2a.	Native Hawaiian				
2b.	Other Pacific Islander				
2.	Total Native Hawaiian and Other Pacific Islander (Sum Lines 2a + 2b)				
3.	Black/African American				
4.	American Indian/Alaska Native				
5.	White				
6.	More than one race				
7.	Unreported/Refused to report race				
8.	<b>Total Patients</b> (Sum Lines 1+2 + 3 to 7)				

Line	Patients by Language	Number (a)
12.	Patients Best Served in a Language Other Than English	

Line	Patients by Sexual Orientation	Number (a)
13.	Lesbian, gay or homosexual	
14.	Straight or heterosexual	
15.	Bisexual	
16.	Something else	
17.	Don't know	
18.	Choose not to disclose	
19.	<b>Total Patients</b> (Sum Lines 13 to 18)	

Line	Patients by Gender Identity	Number (a)
20.	Male	
21.	Female	
22.	Transgender Male/ Female-to- Male	
23.	Transgender Female/ Male-to- Female	
24.	Gender queer	
25.	Other	
26.	Choose not to disclose	
27.	<b>Total Patients</b> (Sum Lines 20 to 26)	

**Table 5: Staffing and Utilization**

Reporting Period: January 1, 2016 through December 31, 2016

Line	Personnel by Major Service Category	FTEs (a)	Clinic Visits (b)	Patients (c)
1	Family Physicians			
2	General Practitioners			
3	Internists			
4	Obstetrician/Gynecologists			
5	Pediatricians			
7	Other Specialty Physicians			
8	<b>Total Physicians (Lines 1–7)</b>			
9a	Nurse Practitioners			
9b	Physician Assistants			
10	Certified Nurse Midwives			
10a	<b>Total NPs, PAs, and CNMs (Lines 9a–10)</b>			
11	Nurses			
12	Other Medical Personnel			
13	Laboratory Personnel			
14	X-ray Personnel			
15	<b>Total Medical (Lines 8 + 10a through 14)</b>			
16	Dentists			
17	Dental Hygienists			
17a	Dental Therapists			
18	Other Dental Personnel			
19	<b>Total Dental Services (Lines 16–18)</b>			
20a	Psychiatrists			
20a1	Licensed Clinical Psychologists			
20a2	Licensed Clinical Social Workers			
20b	Other Licensed Mental Health Providers			
20c	Other Mental Health Staff			
20	<b>Total Mental Health (Lines 20a–c)</b>			
21	<b>Substance Abuse Services</b>			
22	<b>Other Professional Services (specify _____)</b>			
22a	Ophthalmologists			
22b	Optometrists			
22c	Other Vision Care Staff			
22d	<b>Total Vision Services (Lines 22a–c)</b>			
23	<b>Pharmacy Personnel</b>			
24	Case Managers			
25	Patient/Community Education Specialists			
26	Outreach Workers			
27	Transportation Staff			
27a	Eligibility Assistance Workers			
27b	Interpretation Staff			
27c	Community Health Workers			
28	Other Enabling Services (specify _____)			
29	<b>Total Enabling Services (Lines 24–28)</b>			
29a	<b>Other Programs/Services (specify _____)</b>			
29b	Quality Improvement Staff			
30a	Management and Support Staff			
30b	Fiscal and Billing Staff			
30c	IT Staff			
31	Facility Staff			
32	Patient Support Staff			
33	<b>Total Facility and Non-Clinical Support Staff (Lines 30a–32)</b>			
34	<b>Grand Total (Lines 15+19+20+21+22+22d+23+29+29a+33)</b>			

Table 6A: Selected Diagnoses and Services Rendered

Reporting Period: January 1, 2016 through December 31, 2016

**Table 6A: Selected Diagnoses**

Diagnostic Category		Applicable ICD-10-CM Code	Number of Visits by Diagnosis Regardless of Primacy (a)	Number of Patients with Diagnosis (b)
<b>Selected Infectious and Parasitic Diseases</b>				
1-2.	Symptomatic / Asymptomatic HIV	B20, B97.35, O98.7, Z21		
3.	Tuberculosis	A15- thru A19-		
4.	Sexually transmitted infections	A50- thru A64- (Exclude A63.0), M02.3-, N34.1		
4a.	Hepatitis B	B16.0-B16.2, B16.9, B17.0, B18.0, B18.1, B19.10, B19.11, Z22.51		
4b.	Hepatitis C	B17.10, B17.11, B18.2, B19.20, B19.21, Z22.52		
<b>Selected Diseases of the Respiratory System</b>				
5.	Asthma	J45-		
6.	Chronic obstructive pulmonary diseases	J40- thru J44- and J47-		
<b>Selected Other Medical Conditions</b>				
7.	Abnormal breast findings, female	C50.01-, C50.11-, C50.21-, C50.31-, C50.41-, C50.51-, C50.61-, C50.71-, C50.81-, C50.91-, C79.81, D48.6-, R92-		
8.	Abnormal cervical findings	C53-, C79.82, D06-, R87.61-, R87.810, R87.820		
9.	Diabetes mellitus	E10- thru E13-, O24- (Exclude O24.41-)		
10.	Heart disease (selected)	I01-, I02- (exclude I02.9), I20- thru I25, I26- thru I28-, I30- thru I52-		
11.	Hypertension	I10- thru I15-		
12.	Contact dermatitis and other eczema	L23- thru L25-, L30- (Exclude L30.1, L30.3, L30.4, L30.5), L55- thru L59 (Exclude L57.0 thru L57.4)		
13.	Dehydration	E86-		
14.	Exposure to heat or cold	T33.XXXA, T34.XXXA, T67.XXXA, T68.XXXA, T69.XXXA		
14a.	Overweight and obesity	E66-, Z68- (Excluding Z68.1, Z68.20-24, Z68.51, Z68.52)		
<b>Selected Childhood Conditions (limited to ages 0 thru 17)</b>				
15.	Otitis media and Eustachian tube disorders	H65- thru H69-		
16.	Selected perinatal medical conditions	A33-, P20- thru P29- (exclude P22.0, P29.3); P35- thru P96- (exclude P50-, P51-, P52-, P54-, P91.6-, P92-, P96.81), R78.81, R78.89		

Diagnostic Category		Applicable ICD-10-CM Code	Number of Visits by Diagnosis Regardless of Primacy (a)	Number of Patients with Diagnosis (b)
17.	Lack of expected normal physiological development (such as delayed milestone; failure to gain weight; failure to thrive); Nutritional deficiencies in children only. Does not include sexual or mental development.	E40-E46, E50- thru E63- (exclude E64-), P92-, R62- (exclude R62.7), R63.2, R63.3		
<b>Selected Mental Health and Substance Abuse Conditions</b>				
18.	Alcohol related disorders	F10-, G62.1		
19.	Other substance related disorders (excluding tobacco use disorders)	F11- thru F19- (Exclude F17-), G62.0, O99.32-		
19a.	Tobacco use disorder	F17-		
20a.	Depression and other mood disorders	F30- thru F39-		
20b.	Anxiety disorders including PTSD	F40- thru F42-F43.0, F43.1-		
20c.	Attention deficit and disruptive behavior disorders	F90- thru F91-		
20d.	Other mental disorders, excluding drug or alcohol dependence	F01- thru F09-, F20- thru F29-, F43- thru F48- (exclude F43.1-), F50- thru F59- (exclude F55-), F60- thru F99- (exclude F84.2, F90-, F91-, F98-), R45.1, R45.2, R45.5, R45.6, R45.7, R45.81, R45.82, R48.0		

**Table 6A: Selected Services Rendered**

Service Category		Applicable ICD-10-CM Code or CPT-4/II Code	Number of Visits (a)	Number of Patients (b)
<b>Selected Diagnostic Tests/ Screening/Preventive Services</b>				
21.	HIV test	<b>CPT-4:</b> 86689; 86701-86703; 87390-87391		
21a.	Hepatitis B test	<b>CPT-4:</b> 86704, 86706, 87515-17		
21b.	Hepatitis C test	<b>CPT-4:</b> 86803-04, 87520-22		
22.	Mammogram	<b>CPT-4:</b> 77052, 77057 OR <b>ICD-10:</b> Z12.31		
23.	Pap test	<b>CPT-4:</b> 88141-88155; 88164-88167, 88174-88175 OR <b>ICD-10:</b> Z01.41-, Z01.42, Z12.4		



Service Category		Applicable ICD-10-CM Code or CPT-4/II Code	Number of Visits (a)	Number of Patients (b)
24.	Selected Immunizations: Hepatitis A, Hemophilus Influenza B (HiB), Pneumococcal, Diphtheria, Tetanus, Pertussis (DTaP) (DTP) (DT), Mumps, Measles, Rubella, Poliovirus, Varicella, Hepatitis B Child)	<b>CPT-4:</b> 90633-90634, 90645 – 90648; 90670; 90696 – 90702; 90704 – 90716; 90718 - 90723; 90743 – 90744; 90748		
24a.	Seasonal Flu vaccine	<b>CPT-4:</b> 90654 – 90662, 90672-90673, 90685-90688		
25.	Contraceptive management	<b>ICD-10:</b> Z30-		
26.	Health supervision of infant or child (ages 0 through 11)	<b>CPT-4:</b> 99391-99393; 99381-99383;		
26a.	Childhood lead test screening (9 to 72 months)	<b>CPT-4:</b> 83655		
26b.	Screening, Brief Intervention, and Referral to Treatment (SBIRT)	<b>CPT-4:</b> 99408-99409		
26c.	Smoke and tobacco use cessation counseling	<b>CPT-4:</b> 99406 and 99407; <b>HCPCS:</b> S9075, <b>CPT-II:</b> 4000F, 4001F		
26d.	Comprehensive and intermediate eye exams	<b>CPT-4:</b> 92002, 92004, 92012, 92014		

Service Category		Applicable ADA Code	Number of Visits (a)	Number of Patients (b)
<b>Selected Dental Services</b>				
27.	I. Emergency Services	<b>ADA :</b> D9110		
28.	II. Oral Exams	<b>ADA :</b> D0120, D0140, D0145, D0150, D0160, D0170, D0171, D0180		
29.	Prophylaxis – adult or child	<b>ADA :</b> D1110, D1120,		
30.	Sealants	<b>ADA :</b> D1351		
31.	Fluoride treatment – adult or child	<b>ADA :</b> D1206, D1208		
32.	III. Restorative Services	<b>ADA :</b> D21xx – D29xx		
33.	IV. Oral Surgery (extractions and other surgical procedures)	<b>ADA :</b> D7111, D7140, D7210, D7220, D7230, D7240, D7241, D7250, D7251, D7260, D7261, D7270, D7272, D7280, D7290-D7294		
34.	V. Rehabilitative services (Endo, Perio, Prostho, Ortho)	<b>ADA :</b> D3xxx, D4xxx, D5xxx , D6xxx, D8xxx		

**Sources of Codes:**

International Classification of Diseases, 2014, Complete Draft Code Set (ICD-10-CM).

American Academy of Professional Coders

Current Procedural Terminology, (CPT) 2014. American Medical Association.

Current Dental Terminology, (CDT) 2015 – Dental Procedure Codes. American Dental Association (ADA).

*NOTE: x or - in a code denotes any number including the absence of a number in that place. ICD-10 codes all have at least 4-digits.*

## Table 6B: Quality of Care Measures

Reporting Period: January 1, 2016 through December 31, 2016

### Section A - Age Categories for Prenatal Care Patients: Demographic Characteristics of Prenatal Care Patients

Line	Age	Number of Patients (a)
1	Less than 15 years	
2	Ages 15-19	
3	Ages 20-24	
4	Ages 25-44	
5	Ages 45 and over	
6	Total Patients (Sum lines 1-5)	

### Section B - Early Entry into Prenatal Care

Line	Early Entry into Prenatal Care	Women Having First Visit with Health Center (a)	Women Having First Visit with Another Provider (b)
7	First Trimester		
8	Second Trimester		
9	Third Trimester		

### Section C - Childhood Immunization Status (CIS)

Line	Childhood Immunization Status (CIS)	Total Patients with 2nd Birthday (a)	Number Charts Sampled or EHR total (b)	Number of Patients Immunized (c)
10	MEASURE: Percentage of children 2 years of age who have received age appropriate vaccines by their 2 <sup>nd</sup> birthday			

### Section D - Cervical Cancer Screening

Line	Cervical Cancer Screening	Total Female Patients 23 through 64 Years of Age (a)	Number Charts Sampled or EHR total (b)	Number of Patients Tested (c)
11	MEASURE: Percentage of women 21-64 years of age, who received one or more Pap tests to screen for cervical cancer			

### Section E - Weight Assessment and Counseling for Nutrition and Physical Activity of Children and Adolescents

Line	Weight Assessment and Counseling for Nutrition and Physical Activity for Children and Adolescents	Total Patients Aged 3 through 17 (a)	Number Charts Sampled or EHR Total (b)	Number of Patients with Counseling and BMI Documented (c)
12	MEASURE: Percentage of patients 3-17 years of age with a BMI percentile, <i>and</i> counseling on nutrition and physical activity documented			

**Section F – Preventive Care and Screening: Body Mass Index (BMI) Screening and Follow-Up**

Line	Preventive Care and Screening: Body Mass Index (BMI) Screening and Follow-Up	Total Patients Aged 18 and Older (a)	Number Charts Sampled or EHR Total	Number of Patients with BMI Charted and Follow-Up Plan Documented as Appropriate (c)
13	MEASURE: Percentage of patients aged 18 and older with (1) BMI documented <i>and</i> (2) follow-up plan documented <i>if</i> BMI is outside normal parameters			

**Section G – Preventive Care and Screening: Tobacco Use: Screening and Cessation Intervention**

Line	Preventive Care and Screening: Tobacco Use: Screening and Cessation Intervention	Total Patients Aged 18 and Older (a)	Number Charts sampled or EHR total (b)	Number of Patients Assessed for Tobacco Use <i>and</i> Provided Intervention if a Tobacco User (c)
14a	MEASURE: Percentage of patients aged 18 years and older who (1) were screened for tobacco use one or more times within 24 months <i>and</i> if identified to be a tobacco user (2) received cessation counseling intervention			

**Section H - Use of Appropriate Medications for Asthma**

Line	Use of Appropriate Medications for Asthma	Total Patients Aged 5 through 64 with Persistent Asthma (a)	Number Charts Sampled or EHR Total (b)	Number of Patients with Acceptable Plan (c)
16	MEASURE: Percentage of patients 5 - 64 years of age identified as having persistent asthma and were appropriately prescribed medication during the measurement period			

**Section I - Coronary Artery Disease (CAD): Lipid Therapy**

Line	Coronary Artery Disease (CAD): Lipid Therapy	Total Patients Aged 18 and Older with CAD Diagnosis (a)	Number Charts Sampled or EHR Total (b)	Number of Patients Prescribed A Lipid Lowering Therapy (c)
17	MEASURE: Percentage of patients aged 18 and older with a diagnosis of CAD who were prescribed a lipid lowering therapy			

**Section J - Ischemic Vascular Disease (IVD): Use of Aspirin or Another Antithrombotic**

Line	Ischemic Vascular Disease (IVD): Use of Aspirin or Another Antithrombotic	Total Patients 18 and Older with IVD Diagnosis or AMI, CABG, or PTCA Procedure (a)	Charts Sampled or EHR Total (b)	Number of Patients With Documentation of Use of Aspirin or Other Antithrombotic Therapy (c)
18	MEASURE: Percentage of patients aged 18 and older with a diagnosis of IVD or AMI, CABG, or PTCA procedure with documentation of use of aspirin or another antithrombotic therapy			

**Section K - Colorectal Cancer Screening**

Line	Colorectal Cancer Screening	Total Patients Aged 50 through 75 (a)	Charts Sampled or EHR Total (b)	Number of Patients With Appropriate Screening For Colorectal Cancer (c)
19	MEASURE: Percentage of patients 50-75 years of age who had appropriate screening for colorectal cancer			

**Section L - HIV Linkage to Care**

Line	HIV Linkage to Care	Total Patients First Diagnosed with HIV (a)	Charts Sampled or EHR Total (b)	Number of Patients Seen Within 90 Days of First Diagnosis of HIV (c)
20	MEASURE: Percentage of patients whose first ever HIV diagnosis was made by health center staff between October 1, of the prior year and September 30, of the measurement year and who were seen for follow-up treatment within 90 days of that first ever diagnosis			

**Section M – Preventive Care and Screening: Screening for Clinical Depression and Follow-Up Plan**

Line	Preventive Care and Screening: Screening for Clinical Depression and Follow-Up Plan	Total Patients Aged 12 and Older (a)	Charts Sampled or EHR Total (b)	Number of patients Screened for Depression and Follow-Up Plan Documented as Appropriate (c)

Line	Preventive Care and Screening: Screening for Clinical Depression and Follow-Up Plan	Total Patients Aged 12 and Older (a)	Charts Sampled or EHR Total (b)	Number of patients Screened for Depression and Follow-Up Plan Documented as Appropriate (c)
21	MEASURE: Percentage of patients aged 12 and older who were (1) screened for depression with a standardized tool, <i>and</i> if screening was positive (2) had a follow-up plan documented			

**Section N – Oral Health Sealant for Children between 6-9 Years**

Line	Oral Health Sealant for Children between 6-9 years)	Total Patients Aged 5 through 9 at Moderate to High Risk for Caries (a)	Charts Sampled or EHR Total (b)	Number of patients with Sealants to First Molars (c)
22	MEASURE: Children aged 6 - 9 years, at moderate to high risk of caries, who received a sealant on a first permanent molar			

**Table 7: Health Outcomes and Disparities**

Reporting Period: January 1, 2016 through December 31, 2016

**Section A: Deliveries and Birth Weight by Race and Hispanic/Latino Ethnicity**

Line	Description	Patients			
0	HIV Positive Pregnant Women				
2	Deliveries Performed by Health Center's Providers				
Line #	Race and Ethnicity	Prenatal Care Patients Who Delivered During the Year (1a)	Live Births: <1500 grams (1b)	Live Births: 1500–2499 grams (1c)	Live Births: ≥2500 grams (1d)
<b>Hispanic/Latino</b>					
1a	Asian				
1b1	Native Hawaiian				
1b2	Other Pacific Islander				
1c	Black/African American				
1d	American Indian/Alaska Native				
1e	White				
1f	More than One Race				
1g	Unreported/Refused to Report Race				
	<i>Subtotal Hispanic/Latino</i>				
<b>Non-Hispanic/Latino</b>					
2a	Asian				
2b1	Native Hawaiian				
2b2	Other Pacific Islander				
2c	Black/African American				
2d	American Indian/Alaska Native				
2e	White				
2f	More than One Race				
2g	Unreported/Refused to Report Race				
	<i>Subtotal Non-Hispanic/Latino</i>				
<b>Unreported/Refused to Report Ethnicity</b>					
h	Unreported/Refused to Report Race and Ethnicity				
i	Total				

**Section B: Controlling High Blood Pressure by Race and Hispanic/Latino Ethnicity**

Line #	Race and Ethnicity	Total Patients 18 through 85 Years of Age with Hypertension (2a)	Charts Sampled or EHR Total (2b)	Patients with HTN Controlled (2c)
<b>Hispanic/Latino</b>				
1a	Asian			
1b1	Native Hawaiian			
1b2	Other Pacific Islander			
1c	Black/African American			
1d	American Indian/Alaska Native			
1e	White			
1f	More than One Race			
1g	Unreported/Refused to Report Race			
	<i>Subtotal Hispanic/Latino</i>			
<b>Non-Hispanic/Latino</b>				
2a	Asian			
2b1	Native Hawaiian			
2b2	Other Pacific Islander			
2c	Black/African American			
2d	American Indian/Alaska Native			
2e	White			
2f	More than One Race			
2g	Unreported/Refused to Report Race			
	<i>Subtotal Non-Hispanic/Latino</i>			
<b>Unreported/Refused to Report Ethnicity</b>				
h	Unreported/Refused to Report Race and Ethnicity			
i	Total			

**Section C: Diabetes: Hemoglobin A1c Poor Control by Race and Hispanic/Latino Ethnicity**

Line #	Race and Ethnicity	Total Patients 18 through 75 Years of Age with Diabetes (3a)	Charts Sampled or EHR Total (3b)	Patients with HbA1c <8% (3d1)	Patients with HbA1c >9% Or No Test During Year (3f)
<b>Hispanic/Latino</b>					
1a	Asian				
1b1	Native Hawaiian				
1b2	Other Pacific Islander				
1c	Black/African American				
1d	American Indian/Alaska Native				
1e	White				
1f	More than One Race				
1g	Unreported/Refused to Report Race				
	<i>Subtotal Hispanic/Latino</i>				
<b>Non-Hispanic/Latino</b>					
2a	Asian				
2b1	Native Hawaiian				
2b2	Other Pacific Islander				
2c	Black/African American				
2d	American Indian/Alaska Native				
2e	White				
2f	More than One Race				
2g	Unreported/Refused to Report Race				
	<i>Subtotal Non-Hispanic/Latino</i>				
<b>Unreported/Refused to Report Ethnicity</b>					
h	Unreported/Refused to Report Race and Ethnicity				
i	Total				



## Table 8A: Financial Costs

Reporting Period: January 1, 2016 through December 31, 2016

Line	Cost Center	Accrued Cost (a)	Allocation of Facility and Non-Clinical Support Services (b)	Total Cost After Allocation of Facility and Non-Clinical Support Services (c)
<b>Financial Costs for Medical Care</b>				
1.	Medical Staff			
2.	Lab and X-ray			
3.	Medical/Other Direct			
4.	<b>Total Medical Care Services</b> (Sum Lines 1- 3)			
<b>Financial Costs for Other Clinical Services</b>				
5.	Dental			
6.	Mental Health			
7.	Substance Abuse			
8a.	Pharmacy not including pharmaceuticals			
8b.	Pharmaceuticals			
9.	Other Professional (Specify: _____)			
9a.	Vision			
10.	<b>Total Other Clinical Services</b> (Sum Lines 5 through 9a)			
<b>Financial Costs of Enabling and Other Program Related Services</b>				
11a.	Case Management			
11b.	Transportation			
11c.	Outreach			
11d.	Patient and Community Education			
11e.	Eligibility Assistance			
11f.	Interpretation Services			
11g.	Other Enabling Services (Specify: _____)			
11h.	Community Health Workers			
11.	<b>Total Enabling Services Cost</b> (Sum Lines 11a through 11h)			
12.	Other Related Services (Specify: _____)			
12a.	Quality Improvement			
13.	<b>Total Enabling and Other Services</b> (Sum Lines 11 and 12a)			
<b>Facility and Non-Clinical Support Services and Totals</b>				
14.	Facility			
15.	Non-Clinical Support Services			
16.	<b>Total Facility and Non-Clinical Support Services</b> (Sum Lines 14 and 15)			
17.	<b>Total Accrued Costs</b> (Sum Lines 4 + 10 + 13 + 16)			
18.	Value of Donated Facilities, Services, and Supplies (specify: _____)			
19.	<b>Total With Donations</b> (Sum Lines 17 and 18)			

## Appendix D: Health Center Electronic Health Record (EHR) Capabilities and Quality Recognition

### Instructions

The Electronic Health Record (EHR) Capabilities and Quality Recognition Form includes a series of questions on health information technology (HIT) capabilities, including EHR interoperability and leverage for Meaningful Use. The EHR and Quality Recognition Form must be completed and submitted as part of the UDS submission. It includes questions about the health center's implementation of EHR, certification of systems, how widely adopted the system is throughout the health center and its providers, and national and/or state quality recognition (accreditation or PCMH).

### Questions

The following questions will be presented on a screen in the Electronic Handbook to be completed before the UDS Report is submitted. Instructions for the EHR questions can be found in EHB as you are completing the questions.

1. Did your center currently have an Electronic Health Record (EHR) system installed and in use?
  - a. Yes, at all sites and for all providers
  - b. Yes, but only at some sites or for some providers
  - c. No

This question seeks to determine whether or not an EHR was installed by the health center as of December 31, 2016, and, if so, which product was in use, how broad was access to the system, and what features were available and being used. While they can often produce much of the UDS data, do not include practice management systems or other billing systems. If the health center has purchased an EHR, but had not yet placed it into use by December 31, 2016, answer "No." If it was installed, indicate if it was being used as of December 31, 2016, by:

- a. **All sites and all providers:** For the purposes of this response, "providers" mean all medical providers including physicians, nurse practitioners, physician assistants, and certified nurse midwives. While some or all of the dental, mental health, or other providers may also have used the system, as may medical support staff, this is not required to choose response "a." For the purposes of this response, "all sites" means all permanent sites where medical providers serve health center medical patients and does not include administrative-only locations, hospitals or nursing homes, mobile vans, or sites used on a seasonal or temporary basis.
- b. **At some sites or for some providers:** Select option b if one or more permanent sites did not have the EHR installed, or in use (even if this is planned), or if one or more medical providers (as defined above) were not yet using the system by December 31, 2016. When determining if all providers had access to the system, the health center should also consider part time and locum providers who serve clinic patients. Do not select this option if the only medical providers who did not

have access were those who were newly hired and still being trained on the system.

- c. **No:** Select “no” if no EHR was in use on December 31, 2016, even if the system had been installed and staff was training on how to use the system.

If a system was in use (i.e., if a or b has been selected above), indicate if your system has been certified under the Office of the National Coordinator - Authorized Testing and Certification Bodies (ONC-ATCB).

1a. Was your system certified under the Office of the National Coordinator for Health IT (ONC) Health IT Certification Program?

- a. Yes
- b. No

Health centers are to indicate in the blanks the vendor, product name, version number, and certified health IT product list number. (More information is available at [ONC-ATCB](#).) If you have more than one EHR (if, for example, you acquired another practice which has its own EHR), report the EHR that will be the successor system.

Vendor

Product Name

Version Number

Certified Health IT Product List Number

1b. Did you switch to your current EHR from a previous system this year?

- a. Yes
- b. No

If ‘yes, but only at some sites or for some providers’ is selected above, a box will expand for health centers to identify how many sites have the EHR in use and how many (medical) providers were using it. Please enter the number of sites (as defined above) where the EHR was in use, and the number of providers who used the system (at any site). Include part time and locum medical providers who serve clinic patients. A provider who has separate login identities at more than one site is still counted as just one provider:

1c. How many sites had the EHR system in use?

1d. How many providers used the EHR system?

1e. When do you plan to install the EHR system?

With reference to your EHR, BPHC would like to know if your system had each of the specified capabilities which relate to the CMS Meaningful Use criteria for EHRs and if you are using them (more information on [Meaningful Use](#)). For each capability, indicate:

- a. **Yes** if your system had this capability and it was being used by your center;

- b. **No** if your system did not have the capability or it was not being used; or
- c. **Not sure** if you do not know if the capability was built in and/or do not know if your center was using it.

Select (a) (has the capability and it is being used) if the software was able to perform the function and some or all of your medical providers were making use of it. It is not necessary for all providers to have used a specific capability in order to select (a).

Select (b) or (c) if the capability was not present in the software or if the capability was present, but the function had not been turned on, or if it was not currently in use by any medical providers at your center. Select (b) or (c) only if none of the providers were making use of the function.

- 2. Did your center send prescriptions to the pharmacy electronically? (Do not include faxing.)
  - a. Yes
  - b. No
  - c. Not sure
- 3. Did your center use computerized, clinical decision support such as alerts for drug allergies, checks for drug-drug interactions, reminders for preventive screening tests, or other similar functions?
  - a. Yes
  - b. No
  - c. Not sure
- 4. Did your center exchange clinical information electronically with other key providers/health care settings such as hospitals, emergency rooms, or subspecialty clinicians?
  - a. Yes
  - b. No
  - c. Not sure
- 5. Did your center engage patients through health IT such as patient portals, kiosks, secure messaging (i.e., secure email) either through the EHR or through other technologies?
  - a. Yes
  - b. No
  - c. Not sure
- 6. Did your center use the EHR or other health IT system to provide patients with electronic summaries of office visits or other clinical information when requested?

- a. Yes
  - b. No
  - c. Not sure
7. How did you collect data for UDS clinical reporting (Tables 6B and 7)?
- a. We used the EHR to extract automated reports
  - b. We used the EHR but only to access individual patient charts
  - c. We used the EHR in combination with another data analytic system
  - d. We did not use the EHR
8. Were your eligible providers participating in the Centers for Medicare and Medicaid Services (CMS) EHR Incentive Program commonly known as “Meaningful Use”?
- a. Yes, all eligible providers at all sites were participating
  - b. Yes, some eligible providers at some sites were participating
  - c. No, our eligible providers were not yet participating
  - d. No, because our providers were not eligible
  - e. Not sure

If yes (a or b), at what stage of Meaningful Use was the majority (more than half) of your participating providers (i.e., what is the stage for which they most recently received incentive payments)?

- a. Adoption, Implementation, or Upgrade (AIU)
- b. Stage 1
- c. Stage 2
- d. Stage 3
- e. Not sure

If no (c only), are your eligible providers planning to participate?

- a. Yes, over the next 3 months
- b. Yes, over the next 6 months
- c. Yes, over the next 12 months or longer
- d. No, they are not planning to participate

9. Did your center use health IT to coordinate or to provide enabling services such as outreach, language translation, transportation, case management, or other similar services?
- a. Yes
  - b. No

- c. If yes, then specify the type(s) of service: \_\_\_\_\_
10. Did your health center receive or retain patient centered medical home recognition or certification for one or more sites during the measurement year?
- Yes
  - No
- If yes (a), which third party organization(s) granted recognition or certification status? (Can identify more than one)
- National Committee for Quality Assurance (NCQA)
  - The Joint Commission (TJC)
  - Accreditation Association for Ambulatory Health Care (AAAHC)
  - State Based Initiative
  - Private Payer Initiative
  - Other Recognition Body (Specify \_\_\_\_\_)
11. Has your health center received accreditation?
- Yes
  - No
- If yes (a), which third party organization granted accreditation?
- The Joint Commission (TJC)
  - Accreditation Association for Ambulatory Health Care (AAAHC)
12. Medication-Assisted Treatment (MAT) for Opioid Use Disorder
- How many physicians, on-site or with whom the health center has contracts, had obtained a Drug Addiction Treatment Act of 2000 (DATA) waiver to treat opioid use disorder with medications specifically approved by the U.S. Food and Drug Administration (FDA) for that indication?
  - How many patients received medication-assisted treatment such as ICD-10 F11.xx for opioid use disorder from a physician with a DATA waiver working on behalf of the health center?
13. Are you using telehealth? Telehealth is defined as the use of telecommunications and information technologies to share information, and provide clinical care, education, public health, and administrative services at a distance<sup>1</sup>.
- Yes
  - No

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<sup>1</sup> <http://www.hrsa.gov/ruralhealth/telehealth/index.html>

If yes (a), how have you been using telehealth? (Choose all that apply)

- a. Provide primary care services
- b. Provide specialty care services
- c. Provide mental health services
- d. Manage patients with chronic conditions
- e. Other (Please specify: \_\_\_\_\_)

If no (b), please explain why you are not using telehealth:

\_\_\_\_\_